

Mail Stop Amendment
 COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, VA 22313-1450



Date: August 12, 2004

In re application of: **BAMBERGER**
 Serial No.: 10/791,404
 Filed: 03/02/2004
 For: **IRIS DIAPHRAGM DEVICE**

Sir:

Transmitted herewith is a **Supplemental Information Disclosure Statement (2 pages)** with PTO-1449 (1 page) and **cited references** in the above-identified application.

- ☐ Small entity status under 37 C.F.R. 1.9 and 1.27 has been previously established.
☐ Applicants assert small entity status under 37 C.F.R. 1.9 and 1.27.
☒ No fee for additional claims is required.
☐ A filing fee for additional claims calculated as shown below, is required:

FOR:	(Col. 1)	(Col. 2)	PRESENT	SMALL ENTITY		OR	LARGE ENTITY	
	REMAINING	HIGHEST		RATE	FEE		RATE	FEE
	AFTER	PREVIOUSLY						
	AMENDMENT	PAID FOR	EXTRA					
TOTAL CLAIMS	* Minus**	=	0	x \$ 9	\$		x \$ 18	\$
INDEP. CLAIMS	* Minus***	=	0	x \$ 40	\$		x \$ 80	\$
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM				+ \$135	\$		+ \$270	\$

TOTAL: \$ OR TOTAL: \$

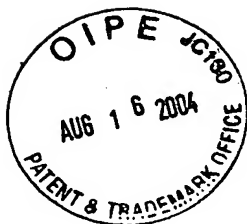
- * If the entry in Co. 1 is less than the entry in Col. 2, write "0" in Col. 3.
 ** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.
 *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.

- ☒ Also transmitted herewith are:
☐ Petition for extension under 37 C.F.R. 1.136
☒ Other: *European Search Report for EP 0410 05 35 & brief translation (2 pages, some double-sided)*
☐ Check(s) in the amount of \$ is/are attached to cover:
☐ Filing fee for additional claims under 37 C.F.R. 1.16
☐ Petition fee for extension under 37 C.F.R. 1.136
☐ Other:
☒ The Assistant Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0552.
☒ Any filing fee under 37 C.F.R. 1.16 for the presentation of additional claims which are not paid by check submitted herewith.
☒ Any patent application processing fees under 37 C.F.R. 1.17.
☒ Any petition fees for extension under 37 C.F.R. 1.136 which are not paid by check submitted herewith, and it is hereby requested that this be a petition for an automatic extension of time under 37 CFR 1.136.

Erik R. Swanson
 Erik R. Swanson, Reg. No. 40,833
 DAVIDSON, DAVIDSON & KAPPEL, LLC
 485 Seventh Avenue, 14th Floor
 New York, New York 10018
 Tel: (212) 736-1940
 Fax: (212) 736-2427

I hereby certify that the documents referred to as attached therein and/or fee are being deposited with the United States Postal Service as "first class mail" with sufficient postage in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on August 12, 2004.
 DAVIDSON, DAVIDSON & KAPPEL, LLC

BY: *Oliver Platz*
 Oliver Platz



5005.1073

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re: Application of: Stefan BAMBERGER et al.
Serial No.: 10/791,404 Confirmation No.: 2541
Filed: 03/02/2004
For: IRIS DIAPHRAGM DEVICE
Examiner: (Not yet known)
Art Unit: 2873

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

August 12, 2004

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. § 1.97, Applicants hereby make of record the documents listed on the accompanying Form PTO-1449 (1 page) for consideration by the Examiner in connection with the examination of the above-identified patent application. While the references are being submitted herewith, some or all of the references may not constitute prior art under the U.S. patent laws.

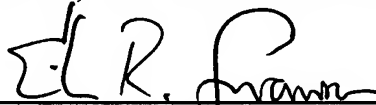
The references cited on the accompanying PTO-1449 Form were cited in a German-language European Search Report, a copy of which, along with a brief English translation thereof, is submitted herewith together with copies of the foreign patent documents listed.

5005.1073

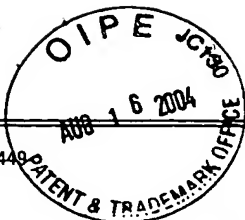
This Information Disclosure Statement is filed under 37 C.F.R. §1.97 (b), before the mailing date of a First Office Action, therefore no fee is believed due. If any additional fees are deemed to be due at this time, the Assistant Commissioner is authorized to charge payment of the same to Deposit Account No. 50-0552.

It is respectfully requested that the references cited in the accompanying PTO-1449 form be considered and made of record. It is respectfully submitted that the pending claims are patentable over all of the references made of record at this time.

Respectfully Submitted,
DAVIDSON, DAVIDSON & KAPPEL, LLC

By: 
Erik R. Swanson
Reg. No. 40,833

Davidson, Davidson & Kappel, LLC
485 Seventh Avenue, 14th Floor
New York, New York 10018
(212) 736-1940



FORM PTO-1449
(REV. 7-80)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.: 5005.1073

SERIAL NO.: 10/791,404

LIST OF PRIOR ART CITED BY APPLICANT

(Use several sheets if necessary)

APPLICANT(S): Stefan BAMBERGER et al.

FILING DATE: 03/02/2004

GROUP: 2873

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
	AA	3	2	8	2	1	8	2	Nov. 1, 1966	Rentschler	95	11.5	
	AB												
	AC												
	AD												
	AE												
	AF												
	AG												
	AH												
	AI												

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
													YES	NO
	AJ		5	4	6	7	1	0	Mar. 16, 1932	Germany			see European Search Report	
	AK		7	9	4	5	9	6	May 7, 1958	Great Britain				
	AL													
	AM													
	AN													

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AO	"Blackout Iris", Wilkes Iris web site, http://www.wilkes-iris.com/products/blackout/fs130-155.html , "model FS155BR", 1 page, July 29, 2004 (+ 2 pages XP-002285207, June 21, 2004)
	AP	
	AR	
	AS	
	AT	
	AU	

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.